



Compliance Officer - Philippines

Plentina is looking for ambitious applicants that are interested to join a Startup focused on financial inclusion.

The company

Plentina is an early-stage fintech startup on a mission to serve the world's emerging middle class with access to affordable credit. There are 4B underbanked people in the world, most of whom are in emerging markets that lack a viable credit infrastructure. We're building new approaches to unlocking creditworthiness at scale for the world's unbanked. Plentina was founded by two Stanford graduates that are alums of tech and finance giants including Google, NASA, Bridgewater Associates, and Charles Schwab.

The team is a group of passionate individuals striving to unlock the Filipinos potential by providing access to finance through a collection of diverse skill sets working together to provide customers value.

The role

Plentina is seeking a **Legal / Compliance Officer** for the company. They will be responsible for making sure that the company complies with the requirements set forth by the regulatory and government bodies. The company can offer you mentorship, and expand your skill set quickly into other areas that you might be interested in.

We're seeking candidates who are comfortable with a high level of responsibility, taking ownership by designing and building independently, and fixing problems as they arise. Your strengths will be in attention to detail, interest in business policies, familiarity with corporate law, and strong analytical skills. You'll collaborate with our technical, business, operations and legal firm on a regular basis.

Training will be paid for by the company as necessary to keep up to date with the latest regulation changes.

The Compliance Officer reports to the Country General Manager and will regularly collaborate with our outside legal counsel and other related 3rd parties.

What is expected in the role:

- Ultra passionate about our mission to unlock financial services for the Philippines' emerging middle class
- Comfortable being part of a high risk / high reward startup environment as a member of our founding team
- Excellent communication skills and high attention to detail.
- Passionate about our mission to unlock financial services for the Philippines' emerging middle class.
- Strong sense of ownership of their work and its impact to our customers' experiences and livelihoods.

Your duties will include:

- Creating and reviewing regulatory compliance documentation
- Coordinating the creation, review and implementation of policies and procedures resulting from new laws and regulations
- Applying and interpreting audit and compliance requirements for various departments
- Designing and coordinating the preparation and ongoing review of policies, procedures, training materials and other communication tools to ensure departments meet compliance requirements
- Interacting with external auditors on matters related to audits of the organization's internal controls
- Establishing self-audit and compliance monitoring programs
- Reviewing and assessing potential fraud activity and developing fraud detection tools
- Filing regulatory reports and other documentation
- Coordinating rollout and training of new or updated policies and directives when required
- Ensuring all offered services remain compliant with regulatory limitations.
- Reviewing customer communications and ensuring guidelines are met.

Preferred experience:

- Candidates with bachelor's degrees in Accounting, Legal Management or Business with certification in audit or compliance are preferred, but not required
- Practicing lawyers are highly preferred.
- 2+ years of experience in a fast-growing start-up company, preferably in the fintech space or a law firm dealing with fintech cases.
- Working with remote teams and US-based remote leadership
- Familiarity and interest with data privacy compliance and regulations of the SEC, BSP, BIR, and CIC.

Expected start date: ASAP

Application requirements:

- CV
- Cover letter addressed to the Country Manager of the company

*Please include in the cover letter your answer to the following question:

Why do you think banks in the Philippines are not focused on the majority of the population and only focused on the top 5 percent? What would you do differently?

You may email your application to careers@plentina.com